GROUP TOO FEE TRANSMITTAL FOR FY 2003 **TOTAL AMOUNT OF PAYMENT (\$)** 180.00 Complete if Known: Application No. 09/715,690 Filing Date
First Named Inventor November 16, 2000 Anne E. Miller Group Art Unit Conf. No. 4781 1765 Umez Eronini, Lynette T. **Examiner Name** Attorney Docket No. 42390P8842 METHOD OF PAYMENT (check one) The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number **Deposit Account Name** XCharge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, and charge any deficiencies to Deposit Account Number 02-2666, and credit any over payments to Deposit Account Number 02-2666 Charge the Issue Fee Set in 37 CER 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b) 2. Payment Enclosed Applicant claims small entity. 3. [ ] Check See CFR 1.27 **Money Order** Other FEE CALCULATION (fees effective 01/01/03) 1. **FILING FEE** Large Entity Small Entity Fee Fee Fee Fee Code Code (\$) Fee Description Fee Paid (\$) 1001 375 Utility application filing fee 750 2001 1002 330 2002 165 Design application filing fee 1003 Plant filing fee 520 2003 260 1004 750 2004 Reissue filing fee 375 1005 160 2005 Provisional application filing fee SUBTOTAL (1) 2. **CLAIMS** Fee from <u>Extra</u> below Fee Paid -20 =**Total Claims** Independent Claims - 3 Multiple Dependent Claims Large Entity **Small Entity** Fee Fee Fee Fee Code Code (\$) 9 Fee Description Fee Paid (\$) 1202 18 2202 Claims in excess of twenty 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim 1204 84 2204 42 Reissue independent claims over original patent 1205 18 2205 Reissue claims in excess of 20 and over original patent

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SUBTOTAL (2)

01/08/03

## FEE CALCULATION (continued) 3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code Code (\$) Fee Description Fee Paid (\$) Surcharge - late filing fee or oath Surcharge - late provisional filing fee 1051 130 2051 65 1052 50 2052 25 or cover sheet 1053 130 1053 130 Non-English specification 2,520 1812 2,520 For filing a request for ex parte reexamination 1812 For filing a request for ex parte reexamination 1813 8,800 1813 8,800 1251 110 2251 55 Extension for response within first month 205 1252 410 2252 Extension for response within second month 1253 930 2253 465 Extension for response within third month 1254 1,450 2254 725 Extension for response within fourth month 1255 1,970 2255 985 Extension for response within fifth month 1401 160 320 2401 Notice of Appeal Filing a brief in support of an appeal 1402 320 2402 160 1403 280 2403 140 Request for oral hearing 1,510 1,510 1451 1451 Petition to institute a public use proceeding 1452 2452 110 55 Petition to revive - unavoidable 1,300 650 1453 2453 Petition to revive - unintentional 1501 1,300 2501 650 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1460 130 1460 130 Petitions to the Commissioner 1806 180 1806 180 Submission of Information Disclosure Stmt 180.00 8021 8021 40 Recording each patent assignment per property (times number of properties) For filing a submission after final rejection Statutory Disclaimer 375 1809 750 2809 55 375 1814 110 2814 1810 **7**50 2810 For each additional invention to be examined 1801 750 2801 375 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application 1504 300 1504 300 Publication fee for early, voluntary, or normal publication 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication 1808 1808 130 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 1454 1,300 1454 1,300 Acceptance of unintentionally delayed claim for priority Other: Other: \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) 180.00 SUBMITTED BY: Typed or Printed Name: Signature Date Reg. Number 50,432 Customer No. \_ (complete if applicable) FIRST CLASS CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on March 25, 2003 Claire Wallters Name of Person Mailing Correspondence Signature

Attorney's Docket No. 42390P8842

## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Anne E. Miller

Application No.: 09/715,690

Filed:

November 16, 2000

For:

Copper Polish Slurry For Reduced

Interlayer Dielectric Erosion And

Method Of Using Same

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner: Umez Eronini, Lynette T.

Art Unit: 1765

Conf. No. 4781

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## INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on March 25, 2003

Claire Wallters

(Date of Deposit)

(Typed or printed name of person mailing correspondence)

Signature of person mailing correspondence

04/03/2003 SZEWDIE1 00000087 09715690

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of

the appropriate paragraph):							
37 C.F.R. §1.97(b).							
XX 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:							
A statement pursuant to 37 C.F.R. §1.97(e) or							
XX A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).							
37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:							
(1) A statement pursuant to 37 C.F.R. §1.97(e); and							
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.							
If there are any additional charges, please charge Deposit Account No. 02-2666.							
Respectfully submitted,							
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP							
Dated: 3/25, 2003  Weather M. Molleur Reg. No. 50,432							

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (408) 720-8300

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Substit	ut for F	rm 1449A/PT	O (Modified)		Compete if Known				
INFORMATION DISCLOSURE					Application Number 09/715,690		09/715,690		
STATEMENT BY APPLICANT					Filing Date November		6, 2000		
S	LATE	MENT B	Y APP	ACANT ()	First Named Inventor: Anne E. Mil		ler		
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			B	NAME 3 TOP	Examiner Name Umez Eroni		ni, Lynette T.		
Sheet		1	of	Vis. 558	Attorney Docket Number	er	42390P8842		
U.S. PATENT DOCUMENTS									
Exam.	Cite	U.S. Patent I	Document	Name of Pat	entee or Applicant		Publication of	Pages, Columns, Lines,	
Initial*	itial* No. <sup>1</sup> Number Kind		Kind	l of Cite				Where Relevant Passages or Relevant	
		Code <sup>2</sup>	(If known)					Figures Appear	
		5,728,308		Muroyama	· · · · · · · · · · · · · · · · · · ·		17-1998		
		6,045,435		Bajaj et al.		04-0	04-2000		
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	FOREIGN PATENT DOCUMENTS									
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
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Examiner	Date Considered	
Signature		

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.